

Client/Matter Number: 10559-754001

Client/Matter Name: P13652 Application-Specific Network Intrusion Detection

PRE-FILING DETERMINATIONS

IDS Timing

The IDS is being filed:

- ☒ with a new application OR within 3 months of the filing date of the application
- ☐ before the mailing date of a 1st office action on the merits
- ☐ before the mailing date of a final office action OR a notice of allowance
- ☐ Late fee under §1.17(p) is paid OR certification under §1.97(e)(1) is made.
- ☐ after the mailing date of a final office action OR a notice of allowance.
- ☐ certification under §1.97(e)(1) is made
- ☐ petition requesting consideration of the IDS is made, and
- ☐ petition fee under §1.17(i)(1) is paid

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10/066070

02/01/02

REQUIRED FILING ENCLOSURES

Caption

(1) serial number, & (2) filing date have been checked for accuracy against information in the file.

Postcard

(1) billing attorney's initials, & (2) list of all papers being sent and the pages of each.

PTO 1449 form

(1) lists all required information for each type of reference, & (2) lists ALL references in parent applications

Relevance

Relevance of each non-English reference is described, e.g., by submitting a translation of the reference, an English abstract of the reference, or an English language counterpart application/patent of the reference

Communication

☒ NONE ☐ INCLUDED: A copy of any communication of a foreign patent office in a counterpart application (e.g., a search report) citing any of the enclosed references

Mail Certificate

(1) includes 1st Class mail certificate, & (2) is signed and dated

Envelope

Preprinted envelope or label is used, addressed to:
Commissioner for Patents, Washington DC 20231.

TASKS AFTER ATTORNEY/AGENT CHECK

File copies

(1) are complete & (2) include all signatures and dates

Manual Docket

Billing secretary's manual docket entry is updated

Database Update

Copy of postcard sent to Patent Services

Folder Update

File copy, tab, and updated table of contents are filed in prosecution folder

Checked By:

Secretary

Attorney/Agent

Date

8
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Satyendra Yadav Art Unit : Unknown
Serial No. : Unknown Examiner : Unknown
Filed : February 1, 2002
Title : APPLICATION-SPECIFIC NETWORK INTRUSION DETECTION

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information
Disclosure Statement and documents listed on form PTO-1449.

This filing is being made with a new application. No fee
is required.

The documents are in the English language; hence no concise
explanation is necessary per Rule 98(a)(3).

Please apply any additional charges or credits to Deposit
Account No. 06-1050.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL558602263US

I hereby certify under 37 CFR §1.10 that this correspondence is being
deposited with the United States Postal Service as Express Mail Post
Office to Addressee with sufficient postage on the date indicated below
and is addressed to the Commissioner for Patents, Washington,
D.C. 20231.

February 1, 2002

Date of Deposit

Gabe Lewis


Signature

Gabe Lewis

Typed or Printed Name of Person Signing Certificate

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Respectfully submitted,

Date: 2/1/02 *for* 
Scott C. Harris
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10161929.doc

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 10559-754001/P13652	Application No.
	Applicant Satyendra Yadav			
	Filing Date		Group Art Unit	



U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	US6,282,546 B1	August 28, 2001	Gleichen et al			June 30, 1998
	AB	US6,279,113 B1	August 21, 2001	Vaidya			June 4, 1998
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Secure Hash Standard (available at http://csrc.nist.gov/encryption/tkhash.html); Federal Information Processing Standards Publication 108-1; 4/17/1995
	AR	Windows 2000 Network Architecture, NDIS Driver Types; http://www.microsoft.com/windows2000/techinfo/reskit/en-us/cnet/cnad_arc_zjmj.asp?fra ; 1/8/2002
	AS	Windows 2000 Network Architecture, Overview of Windows 2000 Network Architecture; http://www.microsoft.com/windows2000/techinfo/reskit/en-us/cnet/cnad_arc_jypf.asp?fra ; 1/8/2002
	AT	http://www.ietf.org/rfc.html ; Network Working Group; RFC #2828; May 2000

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	